



01-14-04

EV382013678US

Pra titi n r' Do keñ N . 38559-0282003
(formerly 38559-0257952)

PATENT

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In r application of: Lichtenhan et al.

Application No.: 09 / 747,762 Group No.:

Filed: December 21, 2000 Examiner:

For: POLYHEDRAL OLIGOMERIC -SILSESQUIOXANES, -SILICATES AND
-SILOXANES BEARING RING-STRAINED OLEFINIC FUNCTIONALITIES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

NOTE: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).

2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 *

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Addressee"

Mailing Label NEV382013678US (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) _____

Signature

Diana Dearing

(type or print name of person certifying)the

Date: 1-12-04

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

- Error in**
1. ☐ Applicant's name
 2. ☐ Applicant's address
 3. ☐ Title
 4. ☒ Filing Date
 5. ☐ Application Number
 6. ☐ Foreign/PCT Application Re:
 7. ☐ Other

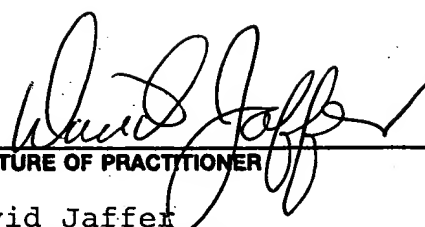
- Correct data**
- 1.
 - 2.
 - 3.
 4. December 21, 2000
 - 5.
 - 6.
 - 7.

SEE ATTACHED FOR EXPLANATION.

Reg. No.: 32,243

Tel. No.: (650)233-4510

Customer No.: 27498



SIGNATURE OF PRACTITIONER
David Jaffer
(type or print name of practitioner)
Pillsbury Winthrop LLP

P.O. Address
2475 Hanover Street
Palo Alto, CA 94304-1114



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/747,762	08/23/2001	1712	591	38559-0282003	3	7	3

David H. Jaffer
 Pillsbury Winthrop
 2550 Hanover Street
 Palo Alto, CA 94304-1115



CONFIRMATION NO. 4698

UPDATED FILING RECEIPT



OC000000011587542

Date Mailed: 12/30/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joseph D. Lichtenhan, San Juan Capistrano, CA;
 Joseph J. Schwab, Huntington Beach, CA;
 Yi-Zong An, Fountain Valley, CA;
 William Reinerth, Westminster, CA;
 Frank J. Feher, Costa Mesa, CA;

Assignment For Published Patent Application

Hybrid Plastics;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/171,888 12/23/1999

Foreign Applications

If Required, Foreign Filing License Granted: 06/23/2003

Projected Publication Date: 04/08/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

POLYHEDRAL OLIGOMERIC -SILSESQUIOXANES, -SILICATES AND -SILOXANES BEARING
RING-STRAINED OLEFINIC FUNCTIONALITIES

Preliminary Class

528

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

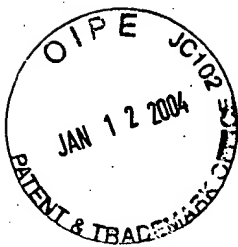
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



THE STAMP OF THE U.S. PATENT & TRADEMARK OFFICE Docket: 38559-6257952

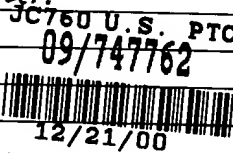
HEREON ACKNOWLEDGES RECEIPT OF THE FOLLOWING: ☐ TM/SM ☒ PATENT

Applicant/Patentee: Lichtenhan, Joseph D., et al.

Serial/Patent/Reg. #: _____

Filed/Issued: _____

For: _____



☒ 2 Transmittal (2 pgs)

☒ Specification/Application 17 pgs

☐ _____ Sheet(s) Formal/informal Drawing

☐ Declaration & Power of Atty.

☐ Assignment

☐ Amendment

☐ Check No. _____ for \$ _____

☐ Extension Request

☐ Trademark/Service Mark Specimen

☐ Certified _____ Application

☐ Verified Statement

☐ Information Disclosure Statement

☒ Express Mail No. EL61898733945

☒ Other: New Application Transmittal
(12 pgs)

Date: Dec. 21, 2000

By: UKK

Attachment to Request for Corrected Filing Receipt for Serial No. 09/747,762

On October 23, 2003, we filed a Request for Corrected Filing Receipt requesting the original filing date of December 22, 2000 be reinstated. The updated filing receipt still shows the incorrect date of August 23, 2001.

Enclosed please find the following documentation concerning the filing date:

1. A copy of Updated Filing Receipt dated December 30, 2003 with incorrect filing date of August 23, 2001;
2. A copy of the post card returned from the Patent Office with the serial number and date of filing stamped December 21, 2000;
3. A copy of the Notice of Incomplete Nonprovisional Application paper received from the Patent Office dated June 21, 2001 showing the correct filing date of December 21, 2000.
4. A copy of the first Request for Corrected Filing Receipt dated October 23, 2003, with attachments.

The Patent Office inadvertently changed the filing date upon receipt of the missing parts. The filing date should not have been changed and should be reinstated to the original date of December 22, 2000.